

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FEBRUARY 16, 2000 FITZPATRICK CELLA HARPER SCINTO

FITZPATRICK, CELLA, HARPER & SCINTO  
SCOTT D. MALPEDE  
30 ROCKEFELLER PLAZA  
NEW YORK, NEW YORK 10112-3801



\*101203101A\*

JJO

35-C13766

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/15/1999

REEL/FRAME: 010380/0984  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
SUZUKI, KENJI

DOC DATE: 10/10/1999

ASSIGNOR:  
NAGATA, TORU

DOC DATE: 10/04/1999

ASSIGNOR:  
YASUI, YOSHINARI

DOC DATE: 10/12/1999

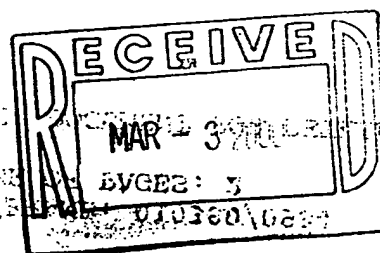
ASSIGNOR:  
OCHIAi, HIROSHI

DOC DATE: 10/04/1999

ASSIGNOR:  
MIYAMURA, HIROSHI

DOC DATE: 10/12/1999

ASSIGNEE:  
CANON KABUSHIKI KAISHA  
30-2, SHIMOMARUKO 3-CHOME, OHTA-KU  
TOKYO, JAPAN



REEL/FRAME: 010380/0984

010380/0984 PAGE 2

SERIAL NUMBER: 09383639  
PATENT NUMBER:

FILING DATE: 08/26/1999  
ISSUE DATE:

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

MRD 11/15/99

11-18-1999

Form-PTO-1595  
1-31-92

TRAN T



ION

Atty. Docket: 35.C13766

To the Commissioner of Patents and

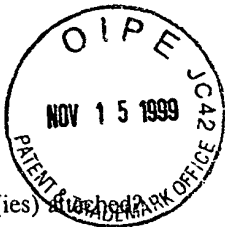
101203101

inal documents or copy thereof.

## 1. Names of conveying party(ies):

- 1) Kenji SUZUKI
- 2) Toru NAGATA
- 3) Yoshinari YASUI
- 4) Hiroshi OCHIAI
- 5) Hiroshi MIYAMURA

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

## 2. Name and address of receiving party(ies):

Name: CANON KABUSHIKI KAISHA

Foreign Address: 30-2, Shimomaruko 3-chome, Ohta-ku,  
Tokyo, Japan

Domestic Address:

City: State ZIP

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger         |
| <input type="checkbox"/> Security Agreement    | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other                 |   |

Execution Date: 1) October 10, 1999, 2) October 4, 1999,

3) October 12, 1999, 4) October 4, 1999 and 5) October 12, 1999

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number:

09/383,639

B. Patent Registration No. (s)

Additional numbers attached?

☐ Yes☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper &amp; Scinto

30 Rockefeller Plaza

New York, New York 10112-3801

Telephone No.: (212) 218-2100

Facsimile No.: (212) 218-2200

## 6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

11/17/1999 DNGUYEN 00000302 09383639

1 FC:581

40.00 DP

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and the attached is the original document or is a true copy of the original document.

Scott D. Malpede, Reg. No. 32,533

Name of Person Signing

Signature

November 15, 1999

Date

Total number of pages including cover sheet, attachments, and documents: 2

JOINT

COPY  
(IN THE ATTORNEY'S FILE)

## ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES

FOR VALUE RECEIVED, WE, KENJI SUZUKI, TORU NAGATA,  
YOSHINARI YASUI, HIROSHI OCHIAI and HIROSHI MIYAMURA

citizens of JAPAN

residing respectively at

24-6-1210, Kyo-machi 2-chome, Kawasaki-ku, Kawasaki-shi,  
Kanagawa-ken, Japan;

24-13-1203, Kamiohsaki 2-chome, Shinagawa-ku, Tokyo, Japan;

9-8, Takata 4-chome, Chigasaki-shi, Kanagawa-ken, Japan;

7-4-E-108, Ichinomiya, Samukawa-machi, Koza-gun, Kanagawa-ken, Japan;

and 27-8, Narusegaoka 3-chome, Machida-shi, Tokyo, Japan

hereby sell, assign, transfer and convey unto CANON KABUSHIKI KAISHA

a corporation of Japan

having a place of business at 30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for

the United States, in and to certain inventions relating to PROCESS AND APPARATUS FOR FORMING  
IMAGES

and described in an application for Letters Patent of the United States filed by us on August 26, 1999  
and which has been accorded Application No. 09/383,639

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and we hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND we authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

AND we hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND we hereby covenant that we have the full right to convey the entire right, title and interest herein assigned and that we have not executed and will not execute any agreement in conflict herewith.

AND we hereby covenant and agree that we will communicate to said Assignee or nominees all facts known to us pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By: Kenji Suzuki  
KENJI SUZUKI

Date: Oct. 14, 1999

By: Toru Nagata  
TORU NAGATA

Date: October 4, 1999

By: Yoshinari Yasui  
YOSHINARI YASUI

Date: October 12, 1999

By: Hiroshi Ochiai  
HIROSHI OCHIAI

Date: October 4, 1999

By: Hiroshi Miyamura  
HIROSHI MIYAMURA

Date: October 12, 1999

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
(Page 1)

CFO 13766 US

COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PROCESS AND APPARATUS FOR FORMING IMAGES

the specification of which ☐ is attached hereto ☒ was filed on August 26, 1999 as United States Application No. or PCT International Application No. 09/383,639 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

Country	Application No.	Filed (Day/Mo./Yr.)	(Yes/No) Priority Claimed
JAPAN	10-245993	31 August 1998	Yes

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

**FITZPATRICK, CELLA, HARPER & SCINTO**  
Customer Number: 05514

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor KENJI SUZUKI  
Inventor's signature *Kenji Suzuki*  
Date Oct 14, 1999 Citizen/Subject of JAPAN  
Residence 24-6-1210, Kyo-machi 2-chome, Kawasaki-ku, Kawasaki-shi, Kanagawa-ken, Japan  
Post Office Address c/o Canon Kabushiki Kaisha  
30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan

Full Name of Second Joint Inventor, if any TORU NAGATA  
Second Inventor's signature *Toru Nagata*  
Date October 4, 1999 Citizen/Subject of JAPAN  
Residence 24-13-1203, Kamiohsaki 2-chome, Shinagawa-ku, Tokyo, Japan  
Post Office Address c/o Canon Kabushiki Kaisha  
30-2, Shimomaruko 3-chome, Ohta-ku, Tokyo, Japan

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
(Page 2)

CFO 3766 15  
COPY

Full Name of Third Joint Inventor, if any YOSHINARI YASUI  
Third Inventor's signature *Yoshinari Yasui*  
Date October 12, 1999 Citizen/Subject of JAPAN  
Residence 9-8, Takata 4-chome, Chigasaki-shi, Kanagawa-ken, Japan

Post Office Address c/o Labo Co., Ltd.  
2754-1, Uehara, Hayakawa, Ayase-shi, Kanagawa-ken, Japan

Full Name of Fourth Joint Inventor, if any HIROSHI OCHIAI  
Fourth Inventor's signature *Hiroshi Ochiai*  
Date October 4, 1999 Citizen/Subject of JAPAN  
Residence 7-4-E-108, Ichinomiya, Samukawa-machi, Koza-gun, Kanagawa-ken, Japan

Post Office Address c/o Labo Co., Ltd.  
2754-1, Uehara, Hayakawa, Ayase-shi, Kanagawa-ken, Japan

Full Name of Fifth Joint Inventor, if any HIROSHI MIYAMURA  
Fifth Inventor's signature *Hiroshi Miyamura*  
Date October 12, 1999 Citizen/Subject of JAPAN  
Residence 27-8, Narusegaoka 3-chome, Machida-shi, Tokyo, Japan

Post Office Address c/o Labo Co., Ltd.  
2754-1, Uehara, Hayakawa, Ayase-shi, Kanagawa-ken, Japan

Full Name of Sixth Joint Inventor, if any \_\_\_\_\_  
Sixth Inventor's signature \_\_\_\_\_  
Date \_\_\_\_\_ Citizen/Subject of \_\_\_\_\_  
Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Seventh Joint Inventor, if any \_\_\_\_\_  
Seventh Inventor's signature \_\_\_\_\_  
Date \_\_\_\_\_ Citizen/Subject of \_\_\_\_\_  
Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Eighth Joint Inventor, if any \_\_\_\_\_  
Eighth Inventor's signature \_\_\_\_\_  
Date \_\_\_\_\_ Citizen/Subject of \_\_\_\_\_  
Residence \_\_\_\_\_

Post Office Address \_\_\_\_\_